## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Docket No: Q96558

Robert Jan HAMER, et al.

Appln. No.: 10/598,049 Group Art Unit: 1761

Confirmation No.: 9535 Examiner: Not Yet Assigned

Filed: November 8, 2006

For: METHOD OF PREPARING A BREAD DOUGH OR PART BAKED BREAD

# REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

**ATTN:** Office of Initial Patent Examination

Filing Receipt Correction Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

We enclose a copy of the Official Filing Receipt for the above-identified application and request the following corrections:

# Power of Attorney None.

John H. Mion, Reg. No 18,879

Alan J. Kasper, Reg. No. 25,426

Peter D. Olexy, Reg. No. 24,513

David J. Cushing, Reg. No. 28,703

Darryl Mexic, Reg. No. 23,063

Joseph J.Ruch, Jr., Reg. No. 26,577

Sheldon I. Landsman, Reg. No. 25,430

Richard C. Turner, Reg. No. 29,710

Howard L. Bernstein, Reg. No. 25,665

# Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NL05/00112 02/16/2004 02/16/2005.

REQUEST FOR CORRECTED OFR

U.S. Appln. No.: 10/598,049

Attorney Docket No.: Q96558

Verification for the requested corrections is indicated on the Declaration and Power of Attorney filed November 8, 2006. Also enclosed is the Authorization to act in a representative capacity filed herewith.

Respectfully submitted,

Registration No. 29,710

Richard C. Turner

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: January 10, 2008



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENT'S P.O. Box 1450 Alexandria, Viginia 22313-1450 www.uspto.gov

| APPL NO.   | DATE       |      | ATTY,DOCKET NO | TOT CLMS | IND CLMS |   |
|------------|------------|------|----------------|----------|----------|---|
| 10/598.049 | 11/08/2006 | 1761 | 1430           | Q96558   | 18       | 5 |

23373 SUGHRUE MION, PLLC 2100 PENNSYLVANIA AVENUE, N.W. SUITE 800 WASHINGTON, DC 20037



CONFIRMATION NO. 9535
FILING RECEIPT
\*OC000000026087456\*

Date Mailed: 10/02/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

# Applicant(s)

Robert Jan Hamer, Amersfoort, NETHERLANDS; Cristina Primo-Martin, Venray, NETHERLANDS;

## **Assignment For Published Patent Application**

Wageningen Centre for Food Sciences, Wageningen, NETHERLANDS

Power of Attorney: None

John H. Mion, Reg. No 18.879
Peter D. Olexy, Reg. No. 24.513
Darryl Mexic, Reg. No. 23,063
Sheldon I. Landsman, Reg. No. 25,430
Howard L. Bernstein, Reg. No. 25,665

Alan J. Kasper, Reg. No. 25,426 David J. Cushing, Reg. No. 28,703 Joseph J.Ruch Jr., Reg. No. 26,577 Richard C. Turner, Reg. No. 29,710

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/NL05/00112 02/16/2004

# Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 04075505.0 02/17/2004

If Required, Foreign Filing License Granted: 09/29/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/598,049

Projected Publication Date: 01/10/2008

Non-Publication Request: No

Early Publication Request: No

Title

Method of Preparing a Bread Dough or Part Baked Bread

**Preliminary Class** 

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## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filling of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filling of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made In the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filling license. The application's filling receipt contains further information and guidance as to the status of applicant's license for foreign filling.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

# GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as

set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form, Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96558

Robert Jan HAMER, et al.

Appln. No.: 10/598,049

Group Art Unit: 1761

Confirmation No.: 9535

Examiner: Not Yet Assigned

Filed: November 8, 2006

For: METHOD OF PREPARING A BREAD DOUGH OR PART BAKED BREAD

# LIST OF ATTORNEYS PURSUANT TO 37 C.F.R. § 1.32(c)(3)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.32(c)(3), please recognize the following ten or fewer registered patent attorneys or registered patent agents named on the Declaration and Power of Attorney executed by the inventor(s) on August 16, 2006 as being of record in the above identified Application or Patent. Also enclosed is the Authorization to act in a representative capacity filed herewith.

John H. Mion, Reg. No 18,879 Peter D. Olexy, Reg. No. 24,513 Darryl Mexic, Reg. No. 23,063 Sheldon I. Landsman, Reg. No. 25,430 Howard L. Bernstein, Reg. No. 25,665 Alan J. Kasper, Reg. No. 25,426 David J. Cushing, Reg. No. 28,703 Joseph J.Ruch, Jr., Reg. No. 26,577 Richard C. Turner, Reg. No. 29,710

The above identified attorneys are also associated with Customer Number 23373.

Respectfully submitted,

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

washington office 23373 customer number

/Richard Turner/ Richard C. Turner Registration No. 29,710

Date: January 10, 2008

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# AUTHORIZATION TO ACT IN A REPRESENTATIVE CAPACITY

| In re Application of: Robert Jan Hamer et al.   |                   |                   |                     |  |  |
|---|-------------------|-------------------|---------------------|--|--|
|   |                   |                   |                     |  |  |
| Application 10/5  | 1 NO.<br>198,049  |                   |                     |  |  |
| Filed:  | ember 8, 2006     |                   |                     |  |  |
|   |                   |                   |                     |  |  |
| Title:  METHOD OF PREPARING A BREAD DOUGH OR PART BAKED BREAD   |                   |                   |                     |  |  |
|   |                   |                   |                     |  |  |
| Attorney D  |                   | Art Unit:<br>1761 |                     |  |  |
| The practitioner named below is authorized to conduct interviews and has the authority to bind the principal concerned. (Note: pursuant to 37 CFR 10.57(c), a practitioner cannot authorize other registered practitioners to conduct interviews without consent of the client after full disclosure.) Furthermore, the practitioner is authorized to file correspondence in the above-identified application pursuant to 37 CFR 1.34:  |                   |                   |                     |  |  |
|   | Name              |                   | Registration Number |  |  |
| Ві  | rian W. Hannon    |                   | 25,                 | 200                                    |  |
| This is not a Power of Attorney to the above-named practitioner. Accordingly, the practitioner named above does not have authority to sign a request to change the correspondence address, a request for an express abandonment, a disclaimer, a power of attorney, or other document requiring the signature of the applicant, assignee of the entire interest or an attorney of record. If appropriate, a separate Power of Attorney to the above-named practitioner should be executed and filed in the United States Patent and Trademark Office. |                   |                   |                     |  |  |
| SIGNATURE of Practitioner of Record   |                   |                   |                     |  |  |
| Signature   |                   |                   |                     | Date<br>Jan 1, 07                      |  |
| Name  | Richard C. Turner |                   |                     | Registration No., if applicable 29,710 |  |
| Telephone   | 202.293.7060      |                   | •                   |  |  |

This collection of information is required by 1.31, 1.32 and 1.34. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

# COMBINED DECLARATION AND POWER OF ATTORNEY

(ORIGINAL DESIGN, NATIONAL STAGE OF PCT OR CIP APPLICATION)

As a below named inventor, I hereby declare that

My residence, post office address and citizenship are as stated below next to my name, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# A method of preparing a bread dough or part baked bread

the specification of which: (complete (a), (b) or (c) for type of application)

## **REGULAR OR DESIGN APPLICATION**

| a. [ ] | is attached hereto. |                    |
|--------|---------------------|--------------------|
| b. [ ] | was filed on        | as Application     |
|        | Serial No.          | and was amended on |
|        | (if applicable)     |                    |

#### PCT FILED APPLICATION ENTERING NATIONAL STAGE

c. [X] was described and claimed in International application No. PCT/NL2005/000112 filed on 16 February 2005 and as amended on (if any)

## ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a).

In compliance with this duty there is attached an information disclosure statement 37 CFR 1.97

## **PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35. United States Code paragraph 119 of any foreign application (s) for patent of inventor's certificate listed below and have also identified below any foreign application for patent of inventor's certificate having a filing date before that of the application on which priority is claimed.

d. [ ] no such applications have been filed

e. [X] such applications have been filed as follows

# EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS (6 MONTHS FOR DESIGN) PRIOR TO SAID APPLICATION

| Country | Application<br>Number | Date of filing<br>(day, month, year) | Date of Issue<br>(day, month, year) | Priority claimed |
|---------|-----------------------|--------------------------------------|-------------------------------------|------------------|
| EP      | 04075505.0            | 17 February 2004                     |                                     | Yes              |
|         |                       |                                      |                                     |                  |

| ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THA<br>(6 MONTHS FOR DESIGN) PRIOR TO SAID APPLIC |  |
|---|--|
|   |  |
|   |  |
|   |  |

#### **CONTINUATION-IN-PART**

(Complete this part only if this is a continuation-in-part application)

I hereby declare claim the benefit under Title 35, United States code, paragraph 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claim of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, paragraph 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, paragraph 1.56(a) which occurred between the filling date of the prior application and the national or PCT international filling date of this application:

| (Application Serial No.) (Filing date) | (Status) | (patented, pending, abandoned) |
|--|----------|--------------------------------|
| (Application Serial No.) (Filing date) | (Status) | (patented, pending, abandoned) |

## **POWER OF ATTORNEY**

I hereby appoint John H. Mion, Reg 18,879, Donald E. Zinn, Reg. No. 19,046, Thomas J. Macpeak, Reg. No. 19,292, Robert J. Seas, Jr., Reg. No. 21,092, Darryl Mexic, Reg. No. 23,063, Robert V. Sloan, Reg. No. 22,775, Peter D. Olexy, Reg. No. 24,513, J. Frank Osha, Reg. No. 24,625, Waddell A. Biggart, Reg. No. 24,861, Robert G. McMorrow, Reg. No. 19.093, Louis Gubinsky, Reg. No. 24,835, Neil B. Siegel, Reg. No. 25,200, David J. Cushing, Reg. No. 28,703, John R. Inge, Reg. No. 26,916, Joseph J. Ruch, Jr., Reg. No. 26,577, Sheldon I. Landsman, Reg. No. 25,430, Richard C. Turner, Reg. No. 29,710, Howard L. Bernstein, Reg. No. 25,665, and Alan J. Kasper, Reg. No. 25,426, my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and request that all correspondence about the application be addressed to SUGHRUE, MION, ZINN, MACPEAK & SEAS, 2100 Pennsylvania Avenue, N.W., Washington, D.C. 20037-3202

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: **HAMER, Robert Jan** Inventor's signature

Date

Country of Citizenship: The Netherlands

Residence: AMERSFOORT, The Netherlands

Post Office Address: Nieuwehoek 13, NL-3813 RS AMERSFOORT, The Netherlands

Full name of second inventor: PRIMO-MARTIN, Cristina

Inventor's signature

Date

Country of Citizenship: Spain

Residence: VENRAY, The Netherlands

Post Office Address: Zevenblad 2, NL-5803 LZ VENRAY, The Netherlands

CHECK PROPER BOX(ES) FOR ANY ADDED PAGE(S) FORMING A PART OF THIS DECLARATION

Seen for legalisation the on this document placed signatures of mr Robert Jan Hamer, born at Dordrecht on the 21<sup>st</sup> day of August 1957 and ms Cristina Primo-Martin, born at Alginet (Valencia) on the 6<sup>th</sup> day of May 1975, The Netherlands, by me, Jan Smit, civil law notary, practising at Wageningen, the Netherlands, on this 16<sup>th</sup> day of August 2006.

